

REFERRER DISCLAIMER & ACKNOWLEDGEMENT AGREEMENT

This Disclaimer & Acknowledgement Agreement (“Agreement”) is executed by the undersigned client (“Client”) in favor of India INX Global Access IFSC Limited (“INX GA”).

By proceeding with the account opening process, the Client acknowledges and agrees to the following terms and conditions:

1. Limited Role of Referrer

The Client understands and agrees that INX GA acts only in the capacity of a Referrer/Introducer, and its role is strictly limited to introducing the Client to one or more licensed third-party international brokerage platform providers including but not limited to View Trade IFSC, Interactive Brokers LLC, or any other such brokers (“Introduced Broker(s)”).

2. Direct Relationship with Introduced Brokers

The Client acknowledges that after such introduction, the Client shall directly share personal, financial, and Know-Your-Client (KYC) information with the respective Introduced Broker(s) and enter into a separate agreement with them. INX GA shall not collect or hold any funds or securities on behalf of the Client at any stage.

3. No Investment Advice or Solicitation

The Client expressly acknowledges that:

- a. INX GA, its employees, agents, principals or representatives do not provide investment advice or recommendations relating to any security, product, transaction, or order;
- b. INX GA does not produce or provide research reports;
- c. Any order placed by the Client are unsolicited and solely based on the Client’s own judgment and investment decisions; and
- d. INX GA shall not be liable for any losses or claims or any nature arising from such investment decisions by the Client.

4. No Execution, Settlement or Custody

The Client agrees that INX GA does not execute trades, hold funds or securities, clear or settle any trades. All transactions, settlements, and account operations shall be directly handled by the Introduced Broker(s) in accordance with their respective terms and conditions and applicable laws and regulations.

5. The Client understands and agrees that INX GA does not control or supervise the operations, products, or services of the Introduced Broker(s). All such services are provided directly by the respective Introduced Broker(s) under their sole responsibility.

The Client agrees that INX GA expressly disclaims any liability, financial or otherwise for:

- a. The performance of the Introduced Broker(s)
 - b. Any losses, damages, or claims arising out of the Client's relationship with or activities conducted through the Introduced Broker(s).
 - c. Any error, omission, negligence, fraud or misconduct by the Introduced Broker(s).
6. The Client confirms that they shall comply with all applicable laws, circulars regulations issued by IFSCA as may be amended from time to time, and any other KYC/AML requirements under IFSCA and other relevant jurisdictions when operating any trading account with the Introduced Broker(s).
7. Nothing in this Agreement shall be construed to create any agency, partnership, joint venture, or employment relationship between INX GA and the Client or between INX GA and the Introduced Broker(s).
8. Following resources of any recognized Stock Exchanges in IFSC or in India shall not be available to the clients for global access:
- a. Rights of Investors and investor protection;
 - b. Dispute resolution mechanism; and
 - c. Investor grievance redressal mechanism
9. The clients hereby acknowledges and agrees to the following:
- a. investments in securities market are subject to market risks, client must read all the related documents carefully before investing.
 - b. India INXGA is registered as Global Access Provider and regulated by IFSCA. India INXGA as an aggregator/Introducing Broker and partnered with multiple international brokers to offer wide range of Global Products. India INXGA is also setting up the omnibus account with 'AllFunds' and Global Asset Management Companies to offer global mutual funds. Technology and related services are outsourced to third party vendors or deployed using the technology of international brokers. India INXGA also enters into an agreement with Member broker of India Exchanges and Mutual Fund distributors and other intermediaries who may refer clients to India INXGA on fully disclosed basis. All client information will be shared among referral partner, India INXGA and international broker.
 - c. International brokers shall hold the client's assets under the brokers name or street name, as pre applicable regulations.
 - d. Client accounts are opened with international brokers on fully disclosed basis.
 - e. Client acknowledges to have understood that trading related information and methodology used for calculating profit and loss from respective international broker, as made available by International Broker
 - f. Client confirms that all applicable fees has been disclosed. Such fees may be shared by refer/Introducing Broker, India INXGA and International Broker. In case of Mutual Funds, PMS or similar products respective Fund Management

entity and Asset Management company may also charge the fund management fee, administrative costs and distribution expenses.

- g. India INXGA does not provide any insurance coverage of any nature. Indian and GIFT Exchange Investor Protection Funds are also not available for global products. Clients may, however, be entitled to insurance coverage as per the laws and regulations of the respective jurisdiction.
- h. Tax will be applicable as per jurisdiction of the client.
- i. The Client understands and agrees that India INXGA and/or the Introduced Broker(s) may store, share and process your data which shall include but not be limited to Client's user information, transaction, and trade data/information.

10. This Agreement shall be governed by and construed in accordance with the laws of India and IFSCA regulations, and any disputes shall be subject to the exclusive jurisdiction of the courts at Gift City, Gujarat, India.

By proceeding with the service the Client confirms that they have read, understood, and voluntarily accepted the terms and conditions set out herein